DCS Wealth Management

We are the partner of Choice

DCS Wealth Management Limited
3-chome 2-5 Kasumigaseki, Chiyoda-ku Yubinbango, 100-6001 Tokyo, Japan
info@dcswealthmgmt.com

DCS Wealth Management

DCS Wealth Management Limited was founded in 2002 by a core of municipal finance and underwriting professionals. The veterans of that early organisation came from national and regional investment banking and financial advisory firms and shared a common focus. The firm has grown to over 200 employees, across complementary business units, several of whom are shareholders of the holding company, DCS Wealth Management Capital Holdings, Inc.

The firm is recognized for its equity markets research and specialization in finance and capital market access for corporate, government, and non-profit clients. Headquartered in Tokyo, Japan.

Vision

Our goal is simple: To provide all our clients with superior risk adjusted performance with a clear focus on meeting your needs, while continually offering the highest levels of returns on your investments.

Mission

To consistently deliver to our investment partners superior risk adjusted returns on investments by combining our capital markets and investment know-how, disciplined judgement and superior execution strategies with an unrivaled world class expertise.

WE ARE THE PARTNER OF CHOICE
Our organisation is comprised of talented people who produce powerful, long-term alliances with our investment partners and fellow team members. We continually work to develop deep, mutually rewarding relationships with both.

WE PROVIDE LEGENDARY SERVICE
We are committed to the highest standards of excellence in everything we do. In an ever-changing business environment, we pursue new ideas, deliver on our promises, are accountable for our actions, and are never satisfied with the status quo.

WE WIN WITH INTEGRITY
We demand and reward integrity in the belief that it is the only way to do business and the only way to foster relationships that stand the test of time. Your financial success is always our goal and outcome.

OUR TEAM MEMBERS DRIVE OUR SUCCESS
We attract and motivate leaders of character and invest in team members who demonstrate initiative. We believe that the exceptional diversity of skills that our team members possess gives us a powerful foundation. We know that our teams' success is a direct result of our support for one another.

Today, after continual sustained growth, DCS Wealth Management Limited continues to offer extensive identification of profitable world class investment opportunities and our excellent performance so far has been achieved through the continual evaluation of our business strategy, by adapting to market opportunities and by responding to the ever changing needs of our clients.

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Services

When you join DCS Wealth Management Limited you become part of an elite and exclusive community that benefits from our excellence in providing the right kind of analysis, where exceptional investment advice guides you into making informed and comfortable decisions that shape your entire financial future and where you know your relationship is built on a solid foundation of trust and understanding.

DCS Wealth Management Limited is proven to be committed to providing advice based on superior research and a clear understanding of both the markets and the needs of our clients. Our tailor made portfolios only work towards maximising the investment needs of those we work for, never ourselves.

DCS Wealth Management Limited Ratings is our proprietary methodology for identifying stocks that we firmly believe, through continual research and analysis will outperform the market over the next 12 months.
As an independent investment services organisation we are able to offer world class strategic advice based on our continual research, enabling our broad range of clients to make informed decisions that suit their needs.

Basic Value Investing

Our investment philosophy is one we call the Basic Value Strategy and is based on time-proven methods of Fundamental Analysis. We adhere to this approach with all of our clients and in all aspects of our business, including fee-based investment advisory services and traditional brokerage relationships.

In our Fundamental Analysis, we seek securities that are selling at prices that are attractive in relation to their sales, book values, rates of growth, returns on equity and financial strength. We also look for high quality management that has demonstrated a superior efficiency in running the company.

This value approach pervades all aspects of our business and is applied not only to the selection of individual stocks and bonds, but also to our efforts in choosing and recommending open-end mutual funds, closed-end funds, and any other investment vehicle.

By offering a wide range of alternative investment services, and continually maintaining the very highest levels of client service, support and investment reporting we continually deliver excellence and financial stability to all our clients.

Full Service Brokerage

DCS Wealth Management Limited full service brokerage fills an important gap for both the large and small individual investor. Many of today's investors are using the services of the discount brokerage or on-line brokerage firms. The investor can save on commissions, do his own research, and try to build his portfolio with self-selected stocks, bonds, mutual funds and annuities. Most investors, however, are reluctant to invest a serious portion of their assets without professional guidance.

DCS Wealth Management Limited is staffed with tenured professionals with specialties in growth and income securities, tax-exempt municipal bonds*, corporate and treasury bonds, mutual funds, annuities, and options. We provide individuals, the self-employed and small businesses with retirement plan consultation, ideas for growth and income, and implement solutions.

Customized Plan & Objectives

Every person has unique dreams, goals, careers, families, and situations, thereby creating the need for unique solutions. The product should match the need, and the client should have total peace of mind.

The key is working closely with the client to determine the direction which provides the proper approach and comprehensive strategy needed.

Our primary goal is to be your trusted financial professional. We greatly appreciate the opportunity to work with you in order to determine your personalized path to financial success.

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Retirement Planning

One fact is certain: Individuals must be responsible for their own financial future and for that life period when they no longer work to earn an income. Pension and defined benefit plans are disappearing, and defined contribution plans – putting the onus of financial responsibility on individual employees – are now the norm.

Estate Planning

Estate Planning involves a tax analysis, Insurance needs analysis, and a review of beneficiary assignments, to ensure that the people you want to receive your hard-earned assets will, when the time comes. Setting up proper legal documents with your legal representative – will, trust, living will, health care directive –are all crucial in order to outline your wishes and desires. DCS Wealth Management Limited will work with your attorney and/or tax professional to provide the complete picture of professional guidance and estate planning advice you need.

Some of the most important reasons to have an estate plan are:

- To minimize legal fees and administration costs
- To transfer a greater portion of your assets to your heirs and favorite charities or organisations
- To protect your heirs by providing them with wealth management continuity throughout your lifetime and beyond
- To control who manages and receives your assets at your death

Ongoing Review

Even if you already have an estate plan, you should review it in the event of:

- Marriage or divorce
- Death of a spouse or beneficiary
- The birth of a child or grandchild
- Significant changes in your net worth
- Changes in your personal financial objectives
- The purchase or sale of a house or property
- Business investments and succession or heir planning
- Changes in applicable tax laws

DCS Wealth Management Limited has many alternatives available to help you protect your assets for your loved ones.

Alternative Investments

Real Estate Investment Trusts (REITs), Business Development Companies (BDCs), and other specialty and hybrid investments are available for select clients who meet specific requirements in order to consider them as viable options.   An appropriate proportion of an investor's wealth portfolio may be allocated to alternative investments, but will vary greatly from investor to investor based on individual investment objectives, income level, tax position, liquidity needs, risk tolerance, and possible accredited status.

The marketplace is ever-changing, and we review new products according to our due diligence standards, to stay at the forefront of investment opportunities.

Real Estate Investment Trusts (REITs)

REIT Basics

A REIT is a company that owns and manages a portfolio of real estate properties. Like a traditional stock mutual fund, REITs offer investors the opportunity to buy shares of fractional ownership in the entire portfolio. However, unlike traditional stock mutual funds, REITs are obligated to distribute 90% of their taxable income to shareholders every year. REITs can focus their portfolio on specific geographic regions or categories of property. REIT income comes from revenue generated by the properties.

Advantages of Investing in REITs

- Diversification away from stock market risk
- Access to real estate markets through a portfolio of properties
- Low initial investment required compared to purchasing property individually

Investors Who May Want to Consider Investing in REITs

- Investors who want access to real estate markets
- Investors looking for diversification away from stock market risk
- Dividend-oriented investors

What DCS Wealth Management Provides

- Access to various real estate-focused investment companies who are committed to quality properties and income strategy objectives
- Experienced investment professionals to guide you through your options

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Business Development Companies (BDCs)

BDCs make investments in private or thinly-traded public companies in the form of debt or equity capital, with the goal of generating current income and/or capital growth. They are investment vehicles which allow individual investors the opportunity to invest primarily in the debt or equity capital of middle market companies, an investment strategy which has predominantly only been available to institutional and high net worth individuals through private, non-traded vehicles.

BDCs afford qualified individual investors the opportunity to invest in this area with the benefit of increased transparency and governance of a public investment.

Other specialty and alternative investments — such as oil & gas partnerships or leasing programs — are available, depending on the investor's experience, financial profile, risk tolerance, and accredited status.

The presentation of this material is neither an offer to sell nor a solicitation of an offer to purchase any investment or security. These investments are offered by prospectus, which provides detailed information, including investment specifics, fees, and expenses.

This material must be read in conjunction with a prospectus in order to fully understand all the implications and risks of an investment. Individual suitability should be determined before purchasing any investment.

Some specialized investments are not suitable for all investors; an investment profile will help to determine appropriate portfolio options. A diversified portfolio is no guarantee of safety of principal and investments are subject to fluctuation and possible loss of principal.

An investment in a non-traded BDC is not suitable for all investors, as it is considered speculative and involves a high degree of risk, including the risk of a substantial loss of investment. Because shares are not listed on a securities exchange and no secondary market is expected to develop, it will be difficult to sell shares of a non-traded BDC.

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